

UNITED STATES OF AMERICA
before the
SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934
Release No. 93274 / October 8, 2021

WHISTLEBLOWER AWARD PROCEEDING
File No. 2022-2

In the Matter of the Claim for an Award

in connection with

Redacted

Notice of Covered Action ^{Redacted}

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award of approximately \$100,000, which represents ^{Redacted} percent (%^{***}) of the monetary sanctions collected in the above-referenced Covered Action (“Covered Action”).

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission that led to the successful enforcement of the Covered Action.¹

Redacted

¹ See Securities Exchange Act of 1934 (“Exchange Act”) Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3(a), 17 C.F.R. § 240.21F-3(a).

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In reaching this determination, we considered that (i) Claimant provided important new information that prompted Commission staff to open an investigation into the alleged misconduct; (ii) Claimant's assistance during the investigation assisted the staff and saved Commission time and resources; and (iii) the charges in the Covered Action were directly based on Claimant's information.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of ^{Redacted} percent (%^{***}) of the monetary sanctions collected or to be collected in the Covered Action.

By the Commission.

Eduardo A. Aleman
Deputy Secretary

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